

A Practical ELISA for Azaspiracids in Shellfish via Development of a New Plate Coating Antigen

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20 **ABSTRACT**

21 Azaspiracids (AZAs) are a group of biotoxins that appear periodically in shellfish and can
22 cause food poisoning in humans. Current methods for quantifying the regulated AZAs are
23 restricted to LC-MS, but are not well suited to detecting novel and unregulated AZAs. An
24 ELISA method for total AZAs in shellfish was reported recently, but unfortunately it used
25 relatively large amounts of the AZA-1-containing plate-coating conjugate, consuming
26 significant amounts of pure AZA-1 per assay. Therefore, a new plate-coater, OVA-cdiAZA1
27 was produced, resulting in an ELISA with a working range of 0.30–4.1 ng/mL and a limit of
28 quantification of 37 µg/kg for AZA-1 in shellfish. This ELISA was nearly twice as sensitive
29 as the previous ELISA while using 5-fold less plate-coater. The new ELISA displayed broad
30 cross-reactivity towards AZAs, detecting all available quantitative AZA reference materials as
31 well as the precursors to AZA-3 and AZA-6, and results from shellfish analysed with the new
32 ELISA showed excellent correlation ($R^2 = 0.99$) with total AZA-1–10 by LC-MS. The results
33 suggest that the new ELISA is suitable for screening samples for total AZAs, even in cases
34 where novel AZAs are present and regulated AZAs are absent, such as was reported recently
35 from Puget Sound and the Bay of Naples.

36

37 **KEYWORDS:** azaspiracid; AZA-1; ELISA; immunoassay; antibody; polyclonal; shellfish
38 toxin; mussel

39

40

41 INTRODUCTION

42 Azaspiracids (AZAs) have been associated with food poisoning since the first incident in
43 1995, when a food poisoning episode in the Netherlands was attributed to Irish mussels
44 (*Mytilus edulis*) harvested at Killary Harbor.¹ Symptoms were stomach cramps, vomiting,
45 severe diarrhea and general nausea. Although these are symptoms similar to those of okadaic
46 acid and dinophysistoxin intoxication, the levels of these in the shellfish were low.¹ In 1997,
47 new human poisonings were reported, this time from mussels from Aranmore Island, Ireland.²
48 In 1998, the toxin involved was isolated, identified and named azaspiracid,³ now known as
49 azaspiracid-1 (AZA-1).³ Since then, a series of AZAs have been detected, isolated and
50 characterized.⁴⁻¹⁰ The structure of AZAs with two unique spiro-ring assemblies, a carboxylic
51 acid and a cyclic amine make them different from earlier known nitrogen-containing toxins
52 found in shellfish and dinoflagellates.^{3, 10} The originally published structures of the AZAs
53 were revised in 2003 by Nicolaou *et al.*^{11, 12} and again in 2017 by Kenton *et al.*^{13, 14} Figure 1
54 shows the revised structures and, so far, more than 50 AZAs have been reported.¹⁵ AZA-1 and
55 AZA-2, as well as a range of other AZAs, are produced by *Azadinium* and *Amphidoma*
56 spp.,¹⁶⁻¹⁹ while the remaining AZAs appear to be shellfish metabolites.²⁰ Since the first
57 identification of AZAs, they have been reported in shellfish, such as mussels, oysters, clams,
58 cockles, as well as brown crabs, throughout Europe.²¹⁻²⁶ Shellfish containing AZAs have also
59 been reported from other regions, including north-west Africa,²⁷ Canada,²⁸ Chile,^{29, 30} and
60 China,³¹ and AZA-2 has been identified in a Japanese sponge,³² confirming the worldwide
61 distribution of AZAs in marine animals. This worldwide distribution is further supported by
62 the finding of AZA-producing dinoflagellates in Europe, East Asia, New Zealand, Central and
63 South America,^{33, 34} and most recently, in North America.³⁵

64

65 Due to the toxicity of AZAs, the EU set a limit of 160 µg/kg AZA-1-equivalents³⁶ of AZA-1,
66 AZA-2 and AZA-3 in uncooked whole shellfish intended for consumption.³⁷ As with other

67 marine lipophilic toxins, LC-MS/MS is the reference method for regulatory analysis of AZAs
68 in shellfish.³⁷ Although LC-MS approaches work well for the detection and quantitation of the
69 regulated AZAs in seafood, routine LC-MS methods are not well-suited to detecting novel
70 AZAs and metabolites, which can sometimes be present in the absence of regulated AZAs.^{34, 35}
71 Some alternative methods, such as immunoassays with broad specificity, can provide faster
72 screening at lower cost and are well suited for rapid screening of routine samples due to their
73 high sensitivity and lack of need for advanced instrumentation and specialist personnel. To date,
74 two antibodies to AZAs have been reported, one polyclonal³⁸ and one monoclonal.³⁹ These
75 have been developed into a competitive enzyme-linked immunosorbent assay (ELISA),⁴⁰ a
76 magnetic bead/electrochemical immunoassay,⁴¹ and an immunosensor⁴² for the polyclonal
77 antibodies, and a microsphere/flow fluorimetry-based immunoassay⁴³ for the monoclonal
78 antibody.

79
80 Because AZAs are small molecules, the ELISA needs to be run in the competitive format,
81 where the antibody can attach either to the free AZAs in the standard or sample, or to an
82 AZA–protein conjugate (either a plate-coating antigen or a reporter-enzyme). Basing an
83 ELISA on the principle of competition, in combination with use of polyclonal antisera, means
84 that the chemistry of the AZA–protein conjugate is important. This is because the presentation
85 and orientation of the AZA on the surface of the conjugate will affect the relative binding
86 affinities of the antibody clones present in the serum. This will lead to selection among the
87 multiple antibody clones with different specificities and affinities that are present in the
88 serum, thus affecting the sensitivity and cross-reactivity of the assay. A number of plate-
89 coating antigens were prepared and tested during assay development, including the initially-
90 used hapten-1, then hapten-2, and subsequently BrAZA-1, all of which were conjugated to
91 ovalbumin (OVA).⁴⁰ Although use of OVA–BrAZA-1 resulted in a sensitive assay, the plate-

92 coating antigen was used in relatively high amounts, and pure AZA-1 used to produce the
93 plate-coating antigen is only available in limited amounts.

94
95 We therefore set out to develop a plate-coater that used less AZA-1 without reducing assay
96 performance, using the same antiserum as reported by Samdal *et al.*⁴⁰ Here we report
97 preparation of a new plate-coating antigen, OVA–cdiAZA1, using a new conjugation
98 approach, resulting in an AZA-ELISA that was twice as sensitive and required 5-fold less of
99 the AZA-1-containing plate-coating antigen, than the previous AZA-ELISA.

100

101 MATERIALS AND METHODS

102 **Materials.** AZA-1 was from the Marine Institute, Ireland.⁴ OVA, dry *N,N*-
103 dimethylformamide (DMF) and 1,1'-carbonyldiimidazole (CDI) were from Sigma–Aldrich
104 (now Merck, Darmstadt, Germany). ELISA-reagents, such as maxisorp immunoplates (96
105 flat-bottom wells) were from Nunc (Roskilde, Denmark), poly(vinylpyrrolidone) 25 (PVP)
106 was from Serva Electrophoresis (Heidelberg, Germany), donkey anti-sheep IgG (H + L)–
107 horseradish peroxidase conjugate (anti-sheep–HRP) was from Agrisera antibodies (Vännäs,
108 Sweden), and the HRP-substrate K-blue Aq. was from Neogen (Lexington, KY). Certified
109 reference materials (CRMs) of AZA-1, AZA-2 and AZA-3 were from the National Research
110 Council Canada (Halifax, NS, Canada). Quantitative laboratory reference materials (RMs) of
111 AZA-4–10, AZA-33, AZA-34 and 37-*epi*-AZA-1 were prepared as described by Kilcoyne *et*
112 *al.*⁵⁻⁷ All other inorganic chemicals and organic solvents were of reagent grade or better.
113 Plate-coating buffer was carbonate buffer (50 mM, pH 9.6). Phosphate-buffered saline (PBS)
114 contained NaCl (137 mM), KCl (2.7 mM), Na₂HPO₄ (8 mM), and KH₂PO₄ (1.5 mM), pH 7.4.
115 ELISA washing buffer was 0.05% Tween 20 in PBS (PBST). Sample buffer was 10% MeOH
116 (v/v) in PBST and the antibody buffer consisted of 1% PVP (w/v) in PBST.

117

118 **Plate-Coating Antigen (OVA–cdiAZA1).** To a vial of dry purified AZA-1 (100 µg) was
119 added 25 µL freshly opened and prepared CDI (2.6 mg in 500 µL dry DMF), and allowed to
120 react for 18 min prior to addition of OVA in PBS (1.0 mL, 10 mg/mL). After reaction for 21
121 h, the surplus reagents and unreacted hapten were removed by washing the OVA–cdiAZA1-
122 conjugate through several centrifugations with PBS in a Vivaspin 6 mL concentrator (cutoff
123 10 000 MW, Sartorius Stedim Biotech GmbH, Goettingen, Germany). The OVA–cdiAZA1
124 was prepared as aliquots (10 × 1 mg), lyophilized, and stored at –20 °C.

125

126 **Polyclonal Antibodies.** Serum AgR 367-4b was obtained after four immunizations with cBSA-
127 hapten-1,³⁸ whereas AgR367-11b was obtained after in total 11 immunizations, of which the
128 six first immunizations were with cBSA–hapten-1, and the five following immunizations were
129 with cBSA–hapten-2, as described by Samdal *et al.*⁴⁰

130

131 **ELISA.** Maxisorp immunoplates were coated with the 2 µg/mL of the plate-coating antigen,
132 OVA–cdiAZA1, in 100 µL/well of plate-coating buffer. The coating was performed overnight
133 in darkness at ambient temperature sealed with a microtiter plate tape. After incubation, the
134 plates were washed with PBST four times, blocked for 1 h with 1% PVP in PBS (300 µL per
135 well), and then washed two times with PBST.

136

137 To estimate the serum titers giving a maximum absorbance of 1.0, non-competitive assays
138 were performed. Equal volumes (50 µL) of sample buffer (10% MeOH in PBST) and a
139 dilution series of antiserum in antibody buffer (1% PVP in PBST) were combined and
140 incubated in wells for 1 h. After washing four times with PBST, bound antibody was detected
141 by adding anti-sheep–HRP conjugate diluted 1:9000 in antibody buffer (100 µL/well) and
142 incubating for 2 h, then washing four times before addition of the ready-to-use HRP substrate

143 K-blue Aq. (100 μ L/well). After 15 min, the reaction was stopped by adding 10% H₂SO₄ (50
144 μ L) and absorbances measured at 450 nm using a SpectraMax i3x plate reader (Molecular
145 Devices, Sunnyvale, CA). All incubations were carried out at ~21 °C.

146
147 Competitive ELISAs were performed as described above, by adding appropriate amounts of
148 standard or sample, and antiserum, to the wells after blocking. Concentrated standards in
149 MeOH, usually AZA-1 (1.31 μ g/mL), were diluted in PBST to give a MeOH concentration of
150 10%, and then in a 3-fold dilution series in sample buffer, giving standard concentrations of
151 0.0066, 0.020, 0.060, 0.18, 0.54, 1.62, 14.6, 43.7 and 131 ng/mL. Shellfish extracts (see
152 extraction method described below) in MeOH were similarly diluted 10-fold with PBST to
153 adjust the MeOH concentration to 10%, followed by a 2- or 3-fold dilution series in sample
154 buffer. All sample and standard dilutions were analyzed in duplicate wells. Assay standard
155 curves were calculated using 4-parameter logistic treatment of the data using SoftMax Pro 6.5.1.
156 The remaining ELISA steps were as described for the non-competitive ELISA.

157
158 **Optimization.** Checkerboard titrations followed by optimization of the standard curve were
159 used to determine optimal concentrations of plate-coating antigen (2 μ g/mL), antiserum
160 AgR367-11b (1:6000), and anti-sheep-HRP (1:9000). Assay standard curves were calculated
161 using logistic treatment of the data. The assay working range was defined as the linear region
162 at 20–80% of maximal absorbance (A_{\max}).

163
164 **Cross-Reactivity.** The available AZA analogues were tested with dilution series, similar to the
165 method described above for AZA-1, to determine the relative specificity of the immunoassay
166 towards each of them. The percentage I_{50} values (molar concentrations giving 50% inhibition)
167 are reported relative to the I_{50} of the AZA-1 CRM. All values were corrected for the known
168 impurities in the AZA-4–10 RMs (Table S1), although this only resulted in minor changes due

169 to the relatively high purities of the standards. The I_{50} values for all AZA standards were
170 compared against the mean I_{50} value for AZA-1. Percentage cross-reactivity was calculated as
171 the mean I_{50} value for AZA-1 divided by the mean I_{50} value for the analogue and multiplying
172 by 100. Intra-assay variation was calculated based on 2–6 competition curves as follows, for
173 each analogue; $CV (\%) = 100 \times (\text{standard deviation of } I_{50}) / (\text{mean of } I_{50})$. The median, 25% and
174 75% quartiles, minimum and maximum values were calculated, and outliers identified, and
175 illustrated in a boxplot. AZAs with I_{50} values significantly different from that of AZA-1 were
176 determined using linear regression with cross-reactivity as the dependent variable. All statistical
177 analyses were performed in R version 3.4.4.⁴⁴

178
179 **LC-MS/MS Analysis.** For LC-MS/MS analysis of AZA analogues, a method aligned with the
180 EU-harmonized standard operating procedure for determination of lipophilic marine biotoxins
181 in mollusks by LC-MS/MS was used.³⁷ A Waters Acquity UPLC coupled to a Xevo G2-S QToF
182 monitoring in MS^e mode (m/z 100–1200) was used with leucine enkephalin as the reference
183 compound. The cone voltage was 40 V, collision energy was 50 V, the cone and desolvation
184 gas flows were set at 100 and 1000 L/h, respectively, and the source temperature was 120 °C.
185 Analytical separation was performed on an Acquity UPLC BEH C18 (50 × 2.1 mm, 1.7 μm)
186 column (Waters, Milford, MA). Binary gradient elution was used, with phase A consisting of
187 H₂O and phase B of CH₃CN (95%) in H₂O (both containing 2 mM ammonium formate and 50
188 mM formic acid). The gradient was from 30–90% B over 5 min at 0.3 mL/min, held for 0.5
189 min, and returned to the initial conditions and held for 1 min to equilibrate the system. The
190 injection volume was 2 μL and the column and sample temperatures were 25 °C and 6 °C,
191 respectively. AZA-1–3, were quantified using CRMs, AZA-33, AZA-34 and 37-*epi*-AZA-1
192 were quantified using the AZA-1 CRM, while AZA-4–10 were quantified with RMs.⁷

193

194 **Mussel Extracts.** AZA-contaminated raw mussel samples (*M. edulis*) from the routine
195 monitoring program in Ireland were selected for analysis. Extraction of the AZA-contaminated
196 raw mussel samples was performed by a two-step extraction with MeOH (25 mL). The
197 homogenized tissue sample (2 g) was weighed into a 50 mL centrifuge tube and extracted by
198 vortex mixing for 1 min with 9 mL of MeOH, centrifuged at 3,950 g (5 min), and the supernatant
199 decanted into a 25 mL volumetric flask. The remaining pellet was further extracted with an
200 additional 9 mL of MeOH using an Ultra-Turrax for 1 min, centrifuged at 3,950 g (5 min), and
201 the supernatant decanted into the same volumetric flask, which was brought to volume with
202 MeOH. A portion (10 mL) of each extract was transferred into a sealed centrifuge tube and
203 placed in a water bath at 90 °C for 10 min to allow decarboxylation of the carboxylated AZAs.⁷
204 ⁴⁵ The heat-treated sample was then passed through a Whatman 0.2 µm cellulose acetate filter
205 into an HPLC vial for analysis. All samples were stored at –20 °C until analysis.

206

207 **RESULTS AND DISCUSSION**

208 A rapid and cheap assay that recognized AZA analogues with affinities proportional to their
209 human oral toxicological potency would be ideal, but unfortunately difficult to establish.
210 Therefore, the aim of the AZA ELISA is to have approximately equal recognition of all AZA
211 analogues, regardless of whether they are currently regulated. This is based on the precautionary
212 principal, since all AZAs tested to date have been found to be toxic either *in vivo* or *in vitro*.
213 This strategy also helps to future-proof the assay, in that the ELISA is likely to also detect toxic
214 AZA analogues that might be discovered in the future. For example, in recent years novel AZAs
215 have been detected in US and Italian waters in the absence of AZA-1–3 or other known
216 AZAs.^{34, 35} Such AZAs are likely to be detected by antibodies with broad specificity, such as
217 those used in the work described here, but might not be detected with standard LC–MS
218 screening procedures.

219

220 **New plate coating antigen.** In order to obtain almost equal cross-reactivity to the numerous
221 reported AZAs (Figure 1), it was important to balance the antibodies' preference for the various
222 AZA analogues. Since small molecules, like AZAs, are too small to bind to more than one
223 antibody at any given time, there are few alternative ELISA formats other than competitive
224 ELISAs. Our approach is therefore based on competitive binding of the polyclonal antibodies
225 to either the free AZAs in the sample/standard or to the plate-coating antigen. Because a
226 polyclonal antiserum is used in the assay, the cross-reactivity toward a particular toxin variant
227 is influenced not only by the specificity of the antibodies present, but also by the affinity of
228 these antibodies for the plate-coating antigen relative to the AZAs in the sample. Since
229 polyclonal antibodies derive from several clones, giving rise to antibodies with different
230 affinities against AZAs, the choice of plate-coating antigen in a competitive ELISA will
231 influence the degree to which the available antibody clones in the serum are involved in the
232 assay, and thus the assay cross-reactivity. In contrast, with monoclonal antibodies the affinity
233 is already selected for by selecting a particular antibody-producing clone.

234 During the development of the AZA-ELISA, a number of plate-coating antigens have been
235 prepared and tested, and the results are shown in Figure 3. Initially, synthetic hapten-1 coupled
236 to OVA was used,³⁸ then hapten-2, and finally BrAZA1.⁴⁰ Changing from OVA–hapten-1 to
237 OVA–hapten-2 improved the sensitivity of the assay 8-fold (with antiserum AgR367-4b),
238 which was not unexpected because hapten-1 contained a ketone at C-26, whereas hapten-2 had
239 an olefinic methylene in the same position, and thus resembled natural AZAs more closely.⁴⁶

240 Changing the antiserum from AgR367-4b to a more mature AgR367-11b, with OVA–hapten-2
241 as the plate-coater, led to a 2-fold improvement in assay sensitivity, although the competition
242 curve did not show complete inhibition of binding, indicating some problems with the
243 background signal (Figure 3). Replacing the OVA–hapten-2 plate-coater with OVA–BrAZA1,
244 made by brominating AZA-1 and conjugating it to ovalbumin, increased assay sensitivity 4-

245 fold, possibly due to a better balance between the affinities of the antibodies for the plate-coater
246 relative to free AZAs. Unfortunately, this plate-coater had to be used at a relatively high
247 concentration, possibly due to low efficiency in the conjugation reaction between the
248 brominated AZA-1 and OVA. This is a problem because pure AZA-1 is difficult and expensive
249 to produce, and the world supply is limited. Therefore, we aimed to improve the plate-coater
250 chemistry by trying to couple the carboxylic acid group at C-1 of AZA-1 to OVA, since
251 McCarron *et al.*⁴⁷ have shown that this group is derivatizable. We found that conjugating AZA-
252 1 to OVA using CDI resulted in OVA–cdiAZA1 (Figure 2), which gave ELISA competition
253 curves almost twice as sensitive as those reported previously by Samdal *et al.*⁴⁰ while
254 consuming 5-fold less pure AZA-1. These results (Figure 3) indicate an important role for the
255 plate-coater coupling chemistry in assay competition.

256
257 **ELISA optimization.** As with the previously published AZA-ELISA,⁴⁰ to be compatible with
258 standard extraction methods for lipophilic algal toxins, the ELISA was optimized using 10%
259 MeOH in both samples and standards. To maximize ELISA sensitivity, the assay conditions,
260 such as the concentration of reagents, needed to be optimized. To determine optimal
261 concentrations of assay reagents, checkerboard titrations and standard curves were used.
262 Criteria for optimization were A_{\max} , slope of the curve, I_{50} , working range (I_{20} – I_{80}), and the
263 limit of quantitation (LOQ, estimated from the mean of the I_{20} values from several ELISAs
264 and multiplied by the dilution factor (i.e. 10 for MeOH-extracts)).

265
266 Assay optimization for OVA–cdiAZA1 was, as with OVA–BrAZA-1, performed using a ca
267 450 mL batch of antiserum obtained from sheep AgR367 after eleven immunizations
268 (antiserum AgR367-11b). The change of plate-coating antigen from OVA–BrAZA-1 to
269 OVA–cdiAZA1 improved the assay sensitivity (from working range (I_{20} – I_{80}) 0.45–8.6
270 ng/mL, with I_{50} 1.9 ng/mL, to working range (I_{20} – I_{80}) 0.30–4.1 ng/mL, with I_{50} 1.1 ng/mL).

271 This change made the ELISA 2-fold more sensitive, possibly due a better balance in affinity
272 for OVA–cdiAZA1 with respect to the analyte, i.e. the AZAs, compared to that with OVA–
273 BrAZA1 (Figure 3). This improved balance in affinity between the plate coating antigen and
274 the analyte may be due to them being more similar, which would be expected to lead to a
275 higher assay sensitivity for AZAs.

276

277 **Specificity.** Because the aim was an assay detecting all AZAs, not just the currently regulated
278 analogues AZA-1–3, the antibodies were developed to recognize the C-28–C-40-domain of
279 the AZA structure (Figure 1) that, at the time, was common to all reported AZAs.³⁸ The new
280 ELISA was tested with dilution series of quantitative CRMs or RMs of AZA-1–10, AZA-33,
281 AZA-34 and 37-*epi*-AZA-1 to determine the cross-reactivity of each one in the assay (Figure
282 4). All the AZA standards are known to be toxic⁷ and all caused concentration-dependent
283 inhibition of antibody binding. The intra-assay variation (CV) for the AZA standards varied
284 from 1–22% for the I_{50} -values based on 2–6 competition curves (Table S1).

285 For the CRMs of AZA-2 and AZA-3, the median cross-reactivities were, respectively, 62 and
286 96%, while for the RMs of the remaining AZAs the median cross-reactivities varied between
287 51 and 109% (Figure 4), with an overall mean cross-reactivity of 79% (Table S1). Linear
288 regression (Tables S3 and S4) showed that AZA-2, -5, -7, -8, -9, -33 and 37-*epi*-AZA-1 had
289 significantly lower cross-reactivity than did AZA-1, whereas the cross-reactivity for AZA-3, -
290 4, -6, -10 and -34 were not significantly different to that of AZA-1.

291 Comparison of the cross-reactivities obtained with the new OVA–cdiAZA1 with those for the
292 OVA–BrAZA1 plate-coating antigen⁴⁰ implied that the antibodies' ability to recognize and
293 bind analogues was very similar for AZA-2, -33, -34 and 37-*epi*-AZA-1, but slightly reduced
294 for all the other AZA analogues investigated (Table 1). Comparison with cross-reactivities in
295 the electrochemical magnetic-bead (MB) based immunoassay,⁴¹ where the same polyclonal

296 antiserum was used, showed that the cross-reactivity varied between the plate-coaters and
297 between the formats used, except for AZA-2 which was similar for all three immunoassays
298 (63–76%) (Table 1). The new ELISA recognized all AZAs with lower affinities relative to
299 AZA-1 than the two other immunoassays. For AZA-3, the cross-reactivity was similar to that
300 of AZA-1, with 93% compared to 140% in the original ELISA, and 273% in the
301 electrochemical MB based immunoassay. A similar pattern was observed for AZA-4, -6 and -
302 10, with 90, 103, and 89 % cross-reactivities, respectively, in the new ELISA. The observed
303 cross-reactivities improved with the new plate-coater for AZA-3, -4, -6, -10 and -34, being
304 closer to 100% than in the other formats, whereas in the electrochemical MB-based
305 immunoassay the antibody recognized AZA-3–10 with significantly higher affinities. This
306 supports the contention of Leonardo *et al.*⁴¹ that the antibodies' cross-reactivities depend not
307 only on the antibodies' affinities, but also on the assay format, approach and immobilization
308 method, and that this plays an important role in the cross-reactivity of competitive
309 immunoassays, especially with polyclonal antibodies.

310
311 The structures of AZA-1 and 37-*epi*-AZA-1 are identical, except for the stereochemistry at C-
312 37, where the methyl group is equatorial (37*S*) in AZA-1 and most other AZA analogues, but
313 orientated axially (37*R*) in 37-*epi*-AZA-1.⁵ The ELISA cross-reactivity for 37-*epi*-AZA-1 was
314 72% in the new ELISA and 77% in the original ELISA. The slightly lower response may be
315 explained by this structural change in the “constant region” and may also suggest that
316 antibody binding is not very sensitive to substitution at C-37. Thus, it seems likely that minor
317 variations in the C-26–C40 “constant” region of the AZA structure may not significantly
318 impact cross-reactivity.

319
320 The new ELISA, as with the first ELISA⁴⁰ and the electrochemical MB-based
321 immunoassay,⁴¹ therefore detects a wide range of structural variants of the AZA skeleton

322 (Figure 1) with good cross-reactivity (Figure 4, Table 1), and could reasonably be expected to
323 recognize all AZA analogues reported to-date. It is important to remember, however, that
324 although the total AZA content is estimated by the ELISA, this does not necessarily
325 correspond with the toxicity of the sample since different AZAs vary in toxicity.⁷ However,
326 all AZA analogues tested to date are toxic *in vitro* or *in vivo*, so the new ELISA would
327 provide a method for detecting the presence of novel and potentially toxic AZAs occurring in
328 the absence of the regulated AZA-1–3, such as has been reported in Italy³⁴ and the USA.³⁵

329
330 **Preliminary validation.** Eleven raw shellfish samples (*M. edulis*) from the routine
331 monitoring program in Ireland, which follows the EU-regulated method for the analysis of
332 marine biotoxins,³⁷ was used to confirm the ELISA's applicability to real samples. A
333 modification to the extraction method was employed, heating the samples to 90 °C for 10 min
334 to convert any 22-carboxyAZAs to their decarboxylated forms e.g., AZA17 to AZA3,^{7, 45}
335 thereby allowing greater accuracy in the LC-MS analysis because RMs could be used for
336 quantitation of the decarboxylated AZA analogues (AZA3, -4, -5, -6, -9 and -10). These
337 samples were previously analyzed by LC-MS for AZA-1–10 and by the electrochemical MB-
338 based immunoassay for total AZAs as reported by Leonardo *et al.*,⁴¹ and were therefore
339 known to contain a broad range of AZAs, at concentrations ranging from well below the
340 regulatory limit to far in excess of the permitted level. Note that AZAs in the mussel tissues
341 were, in effect, diluted 12.5-fold during extraction with 100% MeOH (2 g tissue extracted
342 into 25 mL MeOH), and then 10-fold (with PBS to allow ELISA analysis), so that the LOQ
343 for AZAs in these mussel tissues is the assay I_{20} multiplied by 125. With this sample
344 preparation, the LOQ of the new ELISA corresponds to 37 µg/kg, well below the current
345 European regulatory limit of 160 µg/kg.

346

347 Figure 5A shows results for the samples comparing both the new ELISA and electrochemical
348 MB-based immunoassay for total AZAs versus LC-MS for AZA-1–10,⁴¹ whereas Figure 5B
349 shows only results between 40 and 350 µg/kg, i.e. close to and below the regulatory level of
350 160 µg/kg. ELISA results were ~1.4-fold those obtained by LC-MS for AZA-1–10 for all
351 samples (Figure 5A), and ~1.5-fold for samples around and below the regulatory limit (Figure
352 5B). In comparison, this ratio was ~2-fold between the previous version of the AZA-ELISA
353 and the LC-MS of AZA-1–3 and -6.⁴⁰ Some of this improvement is likely due to the inclusion
354 of AZA-5 and AZA-7–10 in the LC-MS measurement, but the improved cross-reactivity in
355 the new ELISA also makes a significant contribution. There was, nonetheless, a discrepancy
356 between the methods, presumably due to minor AZAs that were not targeted in the LC-
357 MS/MS method. Such minor AZAs can include a range of algal and shellfish metabolites,
358 some of which have only recently been identified^{16, 15, 45, 48} and some of which are observable
359 by LC-MS/MS but which have yet to be fully characterized (unpublished observations).
360 However, in the electrochemical MB-based immunoassay the total AZAs versus AZA-1–10
361 by LC-MS/MS was 1.8-fold higher for all the samples, and 1.6-fold higher for the samples
362 below and around the regulatory limit (Figure 5). The higher ratio between the two methods
363 may be due to the higher cross-reactivity seen with the MB-based immunoassay.⁴¹ Analysis of
364 shellfish spiked with pure AZA-1 in the previous ELISA showed an excellent 1:1 correlation
365 with the LC-MS/MS,⁴⁰ indicating that the differences between ELISA and LC-MS results on
366 naturally contaminated shellfish are due to cross-reactivity differences amongst the AZA
367 analogues and/or to the presence of AZAs that are detected by the antibodies, but not by the
368 current LC–MS methods. The latter is probably the most important factor in the observed
369 differences between ELISA and LC–MS for AZAs because, in addition to AZA-1, the tested
370 samples were dominated by AZA-2, -3, -4 and -6, all of which show similar or lower cross-
371 reactivities to AZA-1 in the new ELISA (Figure 4, Table 1).

372

373 The extraction method used for shellfish toxins in mussels is not optimal for the new AZA-
374 ELISA, because the extract in 100% MeOH needs to be diluted 10-fold to be compatible with
375 the assay. Extraction with a reduced volume of solvent, or with a water–MeOH mixture (thus
376 requiring less dilution of the extract), should lower the LOQ of the ELISA for total AZAs in
377 mussels.

378

379 To summarize, an improved ELISA for detection of AZAs was developed. The antibodies
380 were produced by repeated immunizations with conjugates of two synthetic fragments of
381 AZA, first hapten-1, as reported previously,³⁸ then hapten-2.⁴⁶ We developed a new approach
382 for preparation of the plate-coating antigen (OVA–cdiAZA1), resulting in an ELISA assay
383 that is 2-fold more sensitive than the previously reported AZA-ELISA. The most important
384 improvement, however, is that the new AZA-1-containing plate-coater could be used at 5-fold
385 lower concentration than the plate-coater used in the previous AZA-ELISA. The new ELISA
386 is specific for AZAs, with comparable cross-reactivities toward a wide variety of AZAs, such
387 that the total content of AZA analogues and metabolites can be determined. However, it
388 should be noted that although the assay estimates the total AZAs in a sample, it cannot
389 provide a direct measure of the toxicity because the toxicity of individual AZA variants
390 differs. Nevertheless, the antiserum, in combination with the new OVA–cdiAZA1 plate-
391 coating antigen, resulted in an ELISA with sufficient sensitivity and broad enough specificity
392 to meet current regulatory limits for AZAs. Furthermore, because the assay is based on a
393 polyclonal antiserum from a large animal, and that multiple bleeds with similar characteristics
394 are available from that animal, sufficient antiserum is available for this assay to meet the
395 requirements for shellfish screening programs for the foreseeable future.

396

397 The new ELISA for AZAs provides a rapid and sensitive analytical method that uses low-cost
398 instrumentation, and is well suited to routine quantitation of total AZAs in shellfish destined
399 for human consumption, due to the broad specificity of the antibodies. This ELISA detects all
400 the AZAs currently regulated by the European Commission³⁷ (AZA-1, AZA-2 and AZA-3, with
401 cross-reactivities of 100, 63 and 93 %, respectively), and also detects a broad range of other
402 AZAs with good-to-excellent cross-reactivities, including AZA-6, the precursors of AZA-3 and
403 AZA-6 (i.e. AZA-17 and -19), as well as AZA-33 and 37-*epi*-AZA-1. It thus provides a method
404 for screening samples for AZAs, even if they do not contain the typical European AZA profile
405 dominated by AZA-1–3, such as those recently reported in the USA³⁵ and Italy.³⁴ The
406 sensitivity and broad cross-reactivity of the assay make it particularly well suited to finding
407 novel AZA-producing dinoflagellates in water samples, and to detecting the presence of novel
408 AZAs in shellfish, cultures and plankton samples. Furthermore, the possibility of the
409 application of these antibodies in immunoaffinity-column format raises the prospect of
410 combining the broad selectivity of the AZA-antibodies with the power of modern LC-MS/MS
411 methods for the discovery and identification novel AZA metabolites.

412

413 ASSOCIATED CONTENT

414 Supporting Information

415 Mean molar cross-reactivities of antiserum AgR367-11b with a series of AZA analogues,
416 tabulated impurities in the AZA-standards and the regression of the cross-reactivity data
417 including and excluding the outlier for AZA-33. This material is available free of charge via
418 the Internet at <http://pubs.acs.org/>

419

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423

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454

455 **ABBREVIATIONS**

456 AZA, azaspiracid; BSA, bovine serum albumin; CDI, 1,1'-carbonyldiimidazole; CR, cross-
457 reactivity; CRM, certified reference material; ELISA, enzyme-linked immunosorbent assay;
458 HRP, horseradish peroxidase; LOQ, limit of quantitation; MB, magnetic bead; OVA,
459 ovalbumin; OVA-cdiAZA1, AZA-1 conjugated to OVA using CDI; PBS, phosphate-buffered
460 saline; PBST, PBS with 0.05% Tween 20; PVP, poly(vinylpyrrolidone) 25; RM, reference
461 material.

462

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617

Figure 1. Structures of AZA-1 to -23, AZA-33, -34, -36 and -37, and AZA-37, with variable functionality at R¹–R⁵ (C-1, C-7/8, C-22, C-23, and C-39). Note that 37-*epi*-AZA-1 differs in stereochemistry from AZA-1 at position 37, and that the stereochemistries of AZA-36 and -37 have not yet been established.

Figure 2. Preparation of the new plate coating antigen OVA–cdiAZA1 using 1,1'-carbonyldiimidazole (CDI).

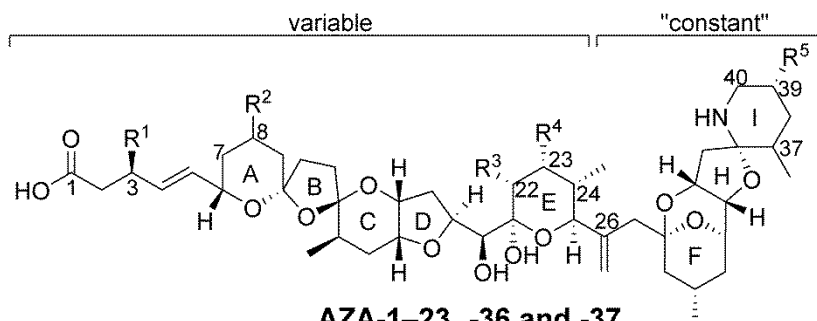
Figure 3. Standard curve development using four different plate coating antigens and two bleeds of antiserum AgR367 (after 4th and 11th immunizations). The curves were obtained with a CRM of AZA-1 in the AZA-ELISA, starting with OVA-hapten-1 and antiserum AgR367-4b (■), to the new ELISA reported here using OVA–cdiAZA1 as plate-coater with antiserum AgR367-11b (○). Note that with the extraction method used here, the regulatory limit of 160 µg/kg for AZA-1–3 in European shellfish corresponds to 12800 pg/mL in the ELISA.

Figure 4. Boxplot of the molar cross-reactivities (%) (CR) toward AZA analogues, where dark lines are the median values, the boxes indicate 25 to 75% quartiles of the dataset and the bars extend to min/max values; $CR = 100 \times (I_{50} \text{ AZA-1 CRM}) / (I_{50} \text{ analogue})$. The observation shown as a circle for AZA-33 is regarded statistically as an outlier.

Figure 5. A, total AZAs determined by the new ELISA (●), and by the MB electrochemical sensor (○),⁴¹ vs LC-MS/MS (sum of AZA-1–10) for 11 samples of mussels (*M. edulis*) from the routine monitoring program in Ireland, and; B, an expansion of graph A showing the data under 350 µg/kg AZAs by LC-MS/MS, which is close to and below the regulatory limit. The vertical dashed line at 160 µg/kg shows the current regulatory limit for AZA-1–3 in European shellfish.

Table 1. Cross-Reactivities (% of AZA-1) for AZA-1–10, -33, -34 and 37-*epi*-AZA-1 in Different Immunoassay Formats (Using the Same Antiserum AgR367-11b).

	AZA-1	AZA-2	AZA-3	AZA-4	AZA-5	AZA-6	AZA-7	AZA-8	AZA-9	AZA-10	AZA-33	AZA-34	37- <i>epi</i> -AZA-1
New AZA-ELISA	100	63	93	90	75	103	54	75	79	89	52	93	72
Old AZA-ELISA ⁴⁰	100	75	140	145	100	144	72	95	114	128	57	110	77
Electrochemical MB assay ⁴¹	100	76	273	383	139	270	200	185	269	217	-	-	-



AZA-1–23, -36 and -37

	R ¹	7,8	R ²	R ³	R ⁴	R ⁵	C-37	MWt
AZA-1	H	Δ	H	CH ₃	H	CH ₃	S	842.1
37-<i>epi</i>-AZA-1	H	Δ	H	CH ₃	H	CH ₃	R	842.1
AZA-2	H	Δ	CH ₃	CH ₃	H	CH ₃	S	856.1
AZA-3	H	Δ	H	H	H	CH ₃	S	828.1
AZA-4	OH	Δ	H	H	H	CH ₃	S	844.1
AZA-5	H	Δ	H	H	OH	CH ₃	S	844.1
AZA-6	H	Δ	CH ₃	H	H	CH ₃	S	842.1
AZA-7	OH	Δ	H	CH ₃	H	CH ₃	S	858.1
AZA-8	H	Δ	H	CH ₃	OH	CH ₃	S	858.1
AZA-9	OH	Δ	CH ₃	H	H	CH ₃	S	858.1
AZA-10	H	Δ	CH ₃	H	OH	CH ₃	S	858.1
AZA-11	OH	Δ	CH ₃	CH ₃	H	CH ₃	S	872.1
AZA-12	H	Δ	CH ₃	CH ₃	OH	CH ₃	S	872.1
AZA-13	OH	Δ	H	H	OH	CH ₃	S	860.1
AZA-14	OH	Δ	H	CH ₃	OH	CH ₃	S	874.1
AZA-15	OH	Δ	CH ₃	H	OH	CH ₃	S	874.1
AZA-16	OH	Δ	CH ₃	CH ₃	OH	CH ₃	S	888.1
AZA-17	H	Δ	H	CO ₂ H	H	CH ₃	S	872.1
AZA-19	H	Δ	CH ₃	CO ₂ H	H	CH ₃	S	886.1
AZA-21	OH	Δ	H	CO ₂ H	H	CH ₃	S	888.1
AZA-23	OH	Δ	CH ₃	CO ₂ H	H	CH ₃	S	902.1
AZA-36	OH	Δ	CH ₃	CH ₃	H	H	S	858.1
AZA-37	OH	–	H	CH ₃	H	H	S	846.1

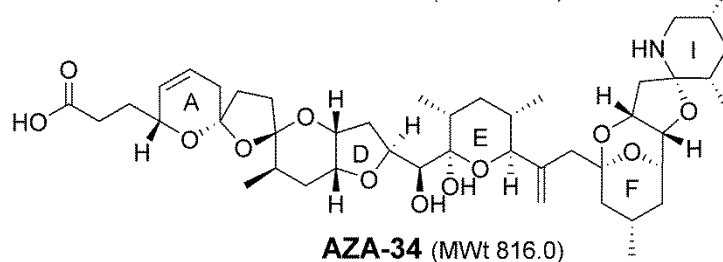
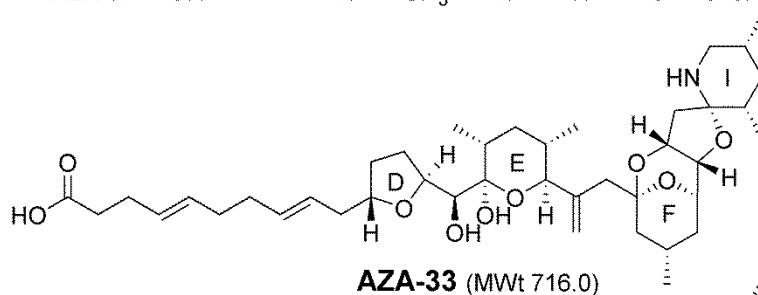


Figure 1. Structures of AZA-1 to -23, AZA-33, -34, -36 and -37, and AZA-37, with variable functionality at R¹–R⁵ (C-1, C-7/8, C-22, C-23, and C-39). Note that 37-*epi*-AZA-1 differs in stereochemistry from AZA-1 at position 37, and that the stereochemistries of AZA-36 and -37 have not yet been established.

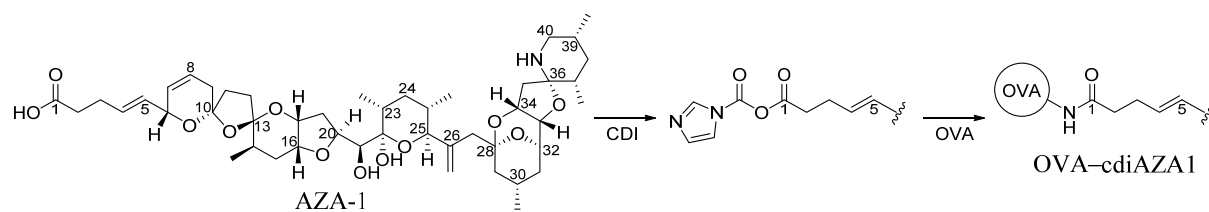


Figure 2. Preparation of the new plate coating antigen OVA–cdiAZA1 using 1,1'-carbonyldiimidazole (CDI).

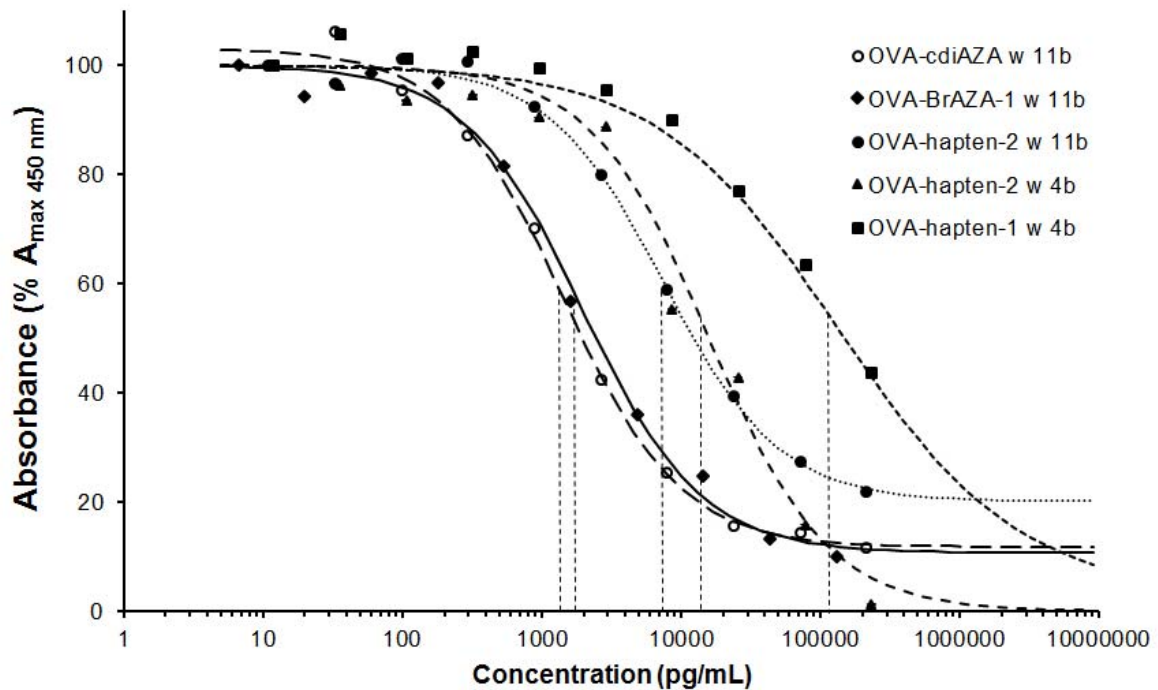


Figure 3. Standard curve development using four different plate coating antigens and two bleeds of antiserum AgR367 (after 4th and 11th immunizations). The curves were obtained with a CRM of AZA-1 in the AZA-ELISA, starting with OVA-hapten-1 and antiserum AgR367-4b (\blacksquare), to the new ELISA reported here using OVA-cdiAZA1 as plate-coater with antiserum AgR367-11b (\circ). Note that with the extraction method used here, the regulatory limit of 160 $\mu\text{g}/\text{kg}$ for AZA-1–3 in European shellfish corresponds to 12800 pg/mL in the ELISA.

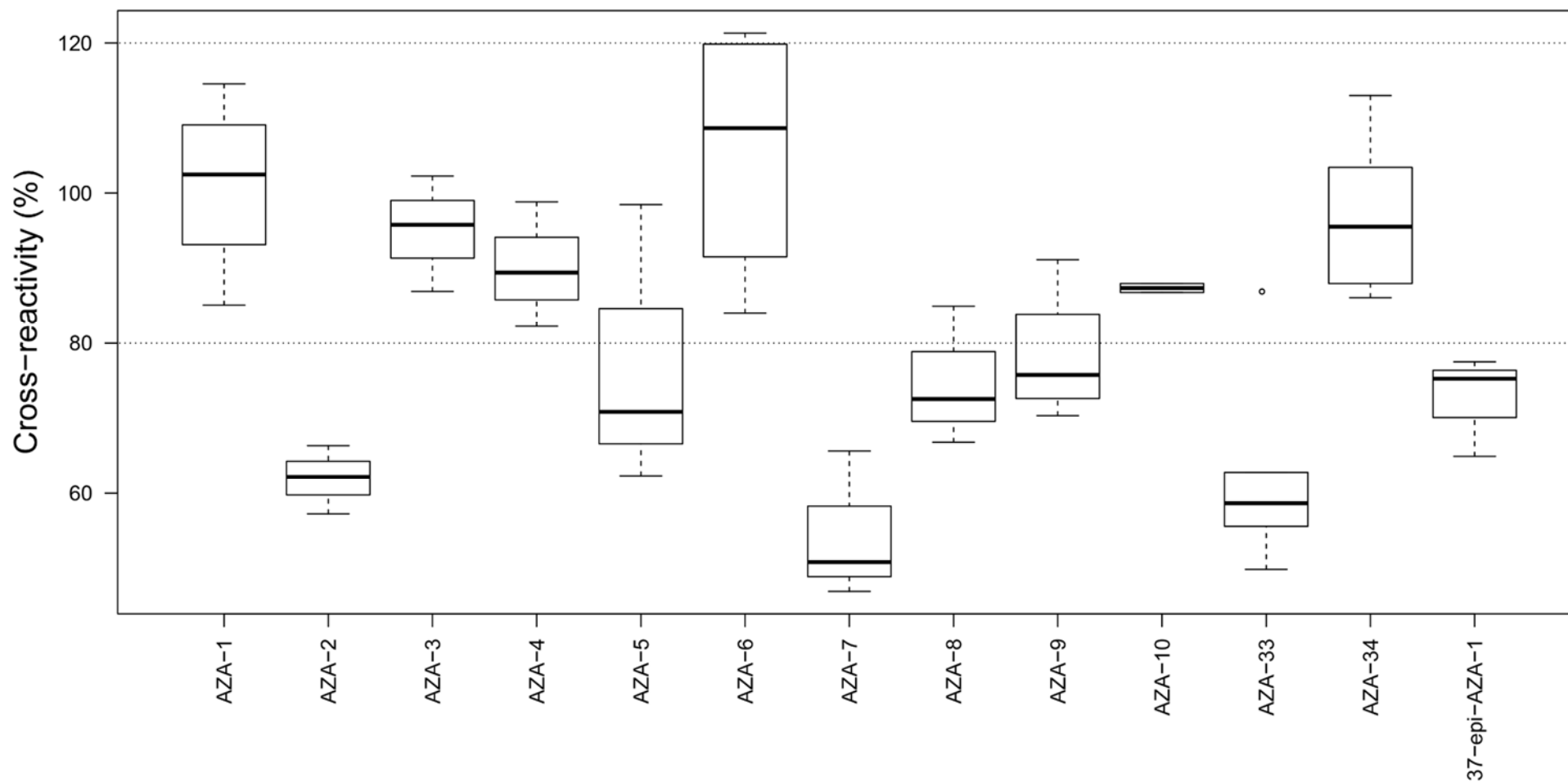


Figure 4. Boxplot of the molar cross-reactivities (%) (CR) toward AZA analogues, where dark lines are the median values, the boxes indicate 25 to 75% quartiles of the dataset and the bars extend to min/max values; $CR = 100 \times (I_{50} \text{ AZA-1 CRM}) / (I_{50} \text{ analogue})$. The observation shown as a circle for AZA-33 is regarded statistically as an outlier.

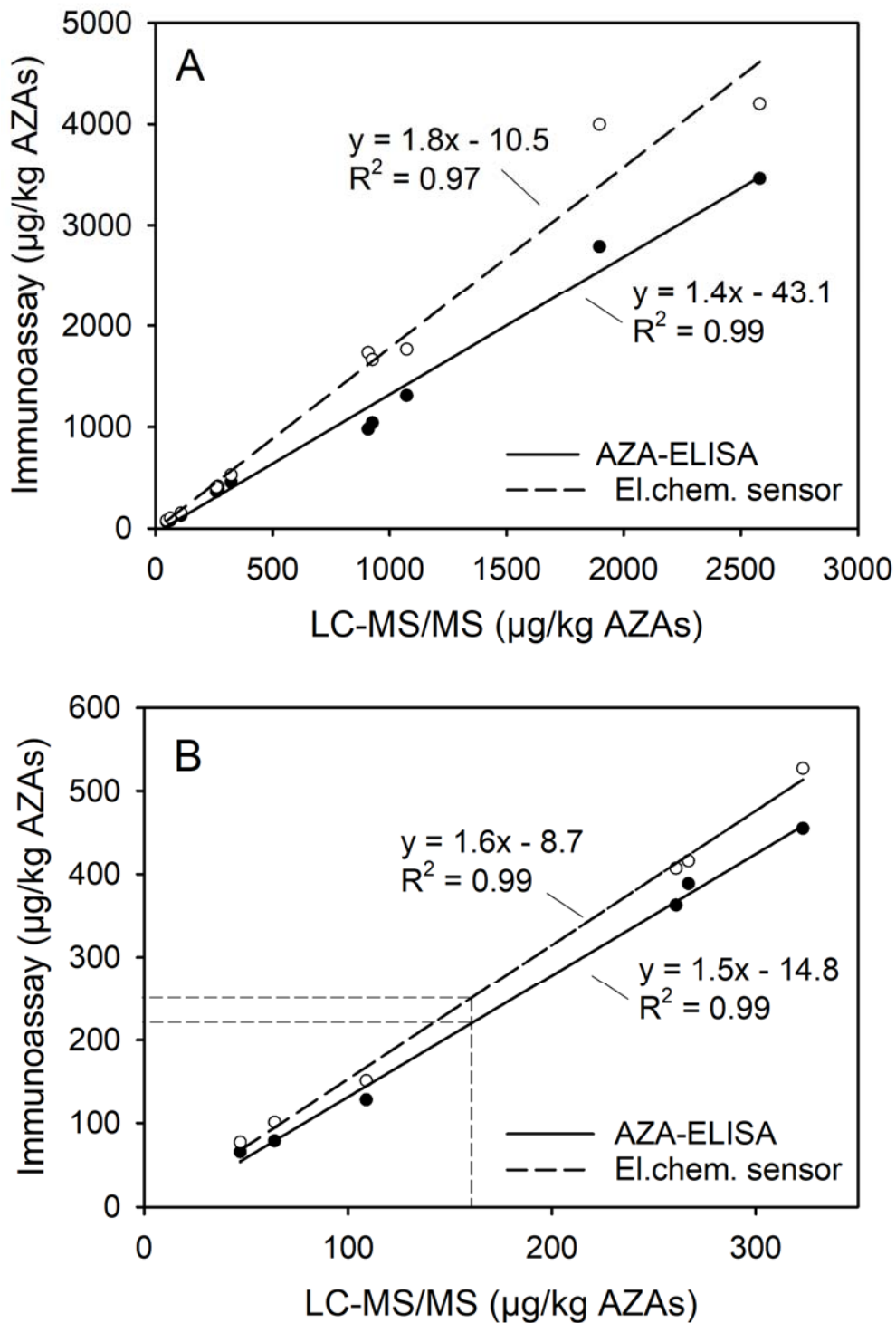


Figure 5. A, total AZAs determined by the new ELISA (●), and by the MB electrochemical sensor (○),⁴¹ vs LC-MS/MS (sum of AZA-1–10) for 11 samples of mussels (*M. edulis*) from the routine monitoring program in Ireland, and; B, an expansion of graph A showing the data under 350 $\mu\text{g/kg AZAs}$ by LC-MS/MS, which is close to and below the regulatory limit. The vertical dashed line at 160 $\mu\text{g/kg}$ shows the current regulatory limit for AZA-1–3 in European shellfish.

Graphic For Table of Contents Only

